



RISK DISCLOSURE NOTICE

VANTAGE GLOBAL FINANCIAL SERVICES L.L.C

DET License No. 1584636 • Registered Office: Level 132, Burj Khalifa, Downtown, Dubai, UAE

Licensed Activity: CMA Category 5 - Introduction and Promotion • CMA License No. 20200000443

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ARTICLE 1: REGULATORY DISCLOSURE AND IMPORTANT INFORMATION

VANTAGE GLOBAL FINANCIAL SERVICES L.L.C (DET License No. 1584636), a company established under the laws of the United Arab Emirates and having its registered office at Level 132, Burj Khalifa, Downtown, Dubai, UAE ("**the Company**", "**we**", "**our**", or "**us**"), holds a licence issued by the Capital Market Authority ("**CMA**") of the United Arab Emirates for the conduct of Category 5 regulated activities, namely Introduction and Promotion, under CMA License No. 20200000443.

In our capacity as a licensed Introducer and Promoter, our regulatory mandate is limited solely to facilitating the introduction of financial products and services made available by other duly licensed and regulated financial institutions, and to connecting prospective clients with those institutions.

Our licence does not extend to the following activities:

- furnishing investment advice or tailored financial recommendations;
- conducting portfolio management or operating discretionary accounts;
- carrying out or administering trades on behalf of any client;
- providing brokerage or dealing services in respect of any securities or derivative instruments; or
- accepting, holding, or having custody of client monies or assets in any form.

ARTICLE 2: PURPOSE AND USE OF CONTENT

All materials appearing on our website, whether promotional in nature, educational, related to introductions to third-party services, or concerning event announcements, are made available purely for general information purposes. Such content must not be interpreted as:

- an endorsement, recommendation, or assurance relating to any particular financial product, service, or provider;
- an inducement or invitation to acquire any financial instrument or invest in any asset class;
- advice of a financial, legal, tax, or regulatory character; or
- any indication of suitability, appropriateness, or anticipated performance.

Although we make reasonable efforts to present information that is both accurate and current, the Company offers no guarantee or warranty, whether express or implied, as to the accuracy, completeness, reliability, or applicability of information originating from third-party service providers or displayed on this platform.

ARTICLE 3: INVESTOR RESPONSIBILITY AND RISK DISCLOSURE

IMPORTANT RISK WARNING: Participation in any form of investment or financial product entails inherent risks, which may include the possibility of substantial financial loss, potentially extending to the complete depletion of the capital invested. The behaviour of financial markets is inherently volatile and unpredictable, and there can be no assurance that any particular investment strategy, trading methodology, or financial product will generate returns or satisfy your individual objectives.

3.1 Past Performance

Historical performance should not be regarded as a dependable predictor of future outcomes. Where references are made to past returns, performance metrics, back-tested data, or hypothetical scenarios, such information is furnished for illustrative purposes only and ought not to be construed as a forecast, commitment, or assurance regarding future performance.

3.2 Forward-Looking Statements

Any financial forecasts, simulations derived from models, or other statements of a forward-looking character are inherently subject to uncertainty. Such projections rest upon assumptions and prevailing market conditions that may shift without prior notice, and they should accordingly not serve as the exclusive foundation for investment decision-making.

3.3 Independent Professional Advice

Prior to acting upon any information, analysis, or promotional content disseminated through our platform, communications, or third-party affiliates, you are urged to obtain independent professional guidance. This may encompass consultation with:

- **a suitably qualified financial adviser**, to evaluate the suitability and relevance of any product or strategy in light of your personal circumstances;
- **a legal practitioner**, to gain an understanding of the regulatory or contractual ramifications of entering into a financial service or investment; and/or
- **a tax specialist**, to ascertain any potential tax implications or reporting duties applicable in your jurisdiction.

Each decision to invest ought to be grounded in a comprehensive understanding of the relevant product's characteristics, the risks it carries, and your own financial position, investment objectives, and appetite for risk.

By electing to proceed with any investment or financial engagement, you accept that you do so entirely at your own risk and that neither the Company nor any of its affiliates shall bear liability for any loss or damage sustained as a consequence of reliance upon any information, material, or third-party introductions channelled through our services.

ARTICLE 4 – THIRD-PARTY RELATIONSHIPS AND LIABILITY

Any engagement, interaction, or contractual relationship that you choose to establish with a third-party financial institution introduced via the Company is entered into wholly at your own election, risk, and responsibility. Such arrangements are governed exclusively by the independent terms, conditions, risk disclosures, fee schedules, and regulatory obligations determined by the relevant third-party provider.

Although the Company may facilitate introductions to licensed and regulated financial institutions, we neither supervise, audit, nor monitor the commercial practices, regulatory standing, or operational performance of those third parties except to the extent of publicly available information or data voluntarily shared with us. Your dealings with any such third party are therefore subject to your own independent investigation and risk assessment.

4.1 Limitations on the Company's Role

The Company:

- does not function as an agent, representative, broker, fiduciary, or intermediary for any third-party financial institution, nor does it possess the authority to negotiate, finalise, or enforce any agreement or obligation on their behalf;
- has no involvement in, and assumes no responsibility for, the origination, execution, performance, oversight, settlement, or resolution of any financial transactions, investment activities, or account operations that you may conduct with such third parties;
- provides no warranties, representations, or guarantees, express or implied, concerning the suitability, performance, regulatory adherence, or service quality of any third-party provider; and
- shall not be held liable for any direct, indirect, incidental, special, or consequential loss or damage that you may suffer as a consequence of:
 - your reliance upon any content, promotional material, or introductory service facilitated by the Company;
 - your decision to engage with an investment or service offered by a third-party institution;
 - any act of omission, default, error, misconduct, or delay attributable to the third-party provider; or
 - any legal, financial, reputational, or opportunity loss flowing from such engagement.

You recognise and accept that an introduction to a third-party financial service provider carries no endorsement, recommendation, or assurance by the Company as to the safety or appropriateness of the services or products made available by that provider.

All users are strongly advised to carry out their own independent due diligence and to secure appropriate legal, financial, or regulatory counsel before entering into any financial relationship or investment arrangement with a third party.

ARTICLE 5: ACKNOWLEDGEMENT

By continuing to access this website, making use of our materials, or engaging with the Company through any of its promotional or communication channels, you expressly confirm and agree that:

- you are aware and accept that the Company operates exclusively as an Introducer and Promoter, licensed by the CMA to undertake Category 5 regulated activities, and that it is not authorised to deliver investment advice, manage portfolios, provide brokerage services, or handle client funds;
- you recognise that every investment decision rests solely with you, at your own discretion and risk, and that the Company accepts no responsibility for the results of any financial arrangements you may conclude with third-party institutions introduced through our platform;
- you undertake to assess all products, services, and third-party providers on your own initiative and affirm that any decision to pursue a financial product or strategy will be founded on your own considered judgment;
- you commit to obtaining suitable professional advice, including legal, financial, or tax guidance, wherever necessary, particularly where you harbour uncertainty about the nature, suitability, or consequences of any investment opportunity or financial service;
- you understand that the Company does not evaluate the suitability or appropriateness of any third-party product, service, or investment offering for your particular circumstances, and that our function is confined to facilitating introductions and distributing promotional information supplied by licensed entities; and
- you agree that the Company shall not be held liable for any direct or indirect losses, damages, or claims that may arise from your reliance on information provided through our website or promotional activities, or from your dealings with any third-party financial service provider introduced by us.

— End of Risk Disclosure Notice —